



ST9-99-093 (IBMST 043161)

*GDJ/JM*  
#6 D-23 PD  
TDS w/Refers  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of ) Group Art Unit: 2771  
Kehsing J. Chou, et al. )  
 ) Examiner: To Be Assigned  
 )  
Serial No.: 09/399,696 )  
 )  
Filed: September 21, 1999 )  
 )

For: THE ARCHITECTURE AND IMPLEMENTATION OF A DYNAMIC RMI SERVER CONFIGURATION HIERARCHY TO SUPPORT FEDERATED SEARCH AND UPDATE ACROSS HETEROGENEOUS DATASTORES

**CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 10/21/2000 by Joanne H. Galvez.

*Joanne H. Galvez*

RECEIVED  
OCT 19 2000  
TECH CENTER 2700

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

In accordance with 37 C.F.R. 1.56, 1.97 and 1.98, the Applicants herewith submit copies of references cited in the attached PTO-1449 form for consideration during examination of the above-identified patent application. Applicants do not necessarily consider these references to be "material" to the patentability of any of the claims in the application. The references are nevertheless submitted since the references generally relate to the subject matter of the Applicants' invention and the Examiner might conclude differently as to their materiality.

It is respectfully requested that the cited references be made of record in this application and that a copy of the attached PTO-1449 form, appropriately initialed, be returned to Applicants' Attorney. This Information Disclosure Statement is being filed prior to the mailing

date of a First Office Action on the merits for the above-identified patent application.  
Accordingly, no fee or petition is required.

Respectfully submitted,

PRETTY & SCHROEDER, P.C.

Dated: October 2, 2000

By:   
Janaki Komanduri  
Registration No. 40,684  
Attorneys for Applicants

444 South Flower Street  
19th Floor  
Los Angeles, CA 90071  
(213) 622-7700 Tel.  
(213) 489-4210 Fax